

**Pioneer Wealth Management Services Ltd. – SEBI Registered Portfolio Manager (SEBI Reg. no. INP000005883) Investor Grievance Data**

**1. Data for the month from October 2021 till August 2022.**

| Sr. No. | Investor Complaints received from | Pending as at the end of the last month | Received | Resolved * | Total Pending # | Pending complaints > 3months | Average Resolution time ^ (in days ) |
|---------|-----------------------------------|---|----------|------------|-----------------|------------------------------|--------------------------------------|
| 1       | Directly from Investors           | Nil                                     | Nil      | Nil        | Nil             | Nil                          | Nil                                  |
| 2       | SEBI (SCORES)                     | Nil                                     | Nil      | Nil        | Nil             | Nil                          | Nil                                  |
| 3       | Other Sources (if any)            | Nil                                     | Nil      | Nil        | Nil             | Nil                          | Nil                                  |
|         | <b>Total</b>                      | Nil                                     | Nil      | Nil        | Nil             | Nil                          | Nil                                  |

^Average Resolution time is the sum total of time taken to resolve each complaint in days in the current quarter divided by total number of complaints resolved in the current quarter.

\* Inclusive of complaints of previous months resolved in the current month

#Inclusive of complaints pending as on the last day of the month.

**2. Trend of monthly disposal of complaints**

| Sr. No. | Month          | Carried forward from previous month | Received | Resolved | Pending |
|---------|----------------|-------------------------------------|----------|----------|---------|
| 1       | January, 2022  | Nil                                 | Nil      | Nil      | Nil     |
| 2       | February, 2022 | Nil                                 | Nil      | Nil      | Nil     |
| 3       | March, 2022    | Nil                                 | Nil      | Nil      | Nil     |
| 4       | April, 2022    | Nil                                 | Nil      | Nil      | Nil     |
| 5       | May, 2022      | Nil                                 | Nil      | Nil      | Nil     |
| 6       | June, 2022     | Nil                                 | Nil      | Nil      | Nil     |
| 7       | July, 2022     | Nil                                 | Nil      | Nil      | Nil     |
| 8       | August, 2022   | Nil                                 | Nil      | Nil      | Nil     |
|         | Grand Total    | Nil                                 | Nil      | Nil      | Nil     |

### 3. Trend of annual disposal of complaints

| Sr. No. | Year               | Carried forward from Previous year | Received | Resolved | Pending |
|---------|--------------------|------------------------------------|----------|----------|---------|
| 1       | 2018-19            | Nil                                | Nil      | Nil      | Nil     |
| 2       | 2019-20            | Nil                                | Nil      | Nil      | Nil     |
| 3       | 2020-21            | Nil                                | Nil      | Nil      | Nil     |
| 4       | 2021-22            | Nil                                | Nil      | Nil      | Nil     |
|         | <b>Grand Total</b> | Nil                                | Nil      | Nil      | Nil     |

**4)To register a formal complaint with Mr. Ketan Gandhi – Principal officer, please write to ketang@pinc.co.in with your following details:**

i) Full name

ii) Registered mobile number

iii) PAN card

iv) Nature of complaint

(On clicking the email id- the link will give direct access to mail from there, investor will mail mentioning above details)

**5) SCORES website link <https://scores.gov.in/scores/Welcome.html>**

(On Clicking SCORES it will take directly to website to lodge the complaint)