

**Pioneer Wealth Management Services Ltd. – SEBI Registered Investment Advisor  
(SEBI Reg. no. INA000017259) Investor Grievance Data**

**1. Data for the month from 19<sup>th</sup> October 2022 till 30<sup>th</sup> April 2023.**

Sr. No.	Investor Complaints received from	Pending as at the end of the last month	Received	Resolved *	Total Pending #	Pending complaints > 3months	Average Resolution time ^ (in days )
1	Directly from Investors	Nil	Nil	Nil	Nil	Nil	Nil
2	SEBI (SCORES)	Nil	Nil	Nil	Nil	Nil	Nil
3	Other Sources (if any)	Nil	Nil	Nil	Nil	Nil	Nil
	<b>Total</b>	Nil	Nil	Nil	Nil	Nil	Nil

^Average Resolution time is the sum total of time taken to resolve each complaint in days in the current quarter divided by total number of complaints resolved in the current quarter.

\* Inclusive of complaints of previous months resolved in the current month

#Inclusive of complaints pending as on the last day of the month.

**2. Trend of monthly disposal of complaints**

Sr. No.	Month	Carried forward from previous month	Received	Resolved	Pending
1	October, 2022	Nil	Nil	Nil	Nil
2	November, 2022	Nil	Nil	Nil	Nil
3	December, 2022	Nil	Nil	Nil	Nil
4	January, 2023	Nil	Nil	Nil	Nil
5	February, 2023	Nil	Nil	Nil	Nil
6	March, 2023	Nil	Nil	Nil	Nil
7	April, 2023	Nil	Nil	Nil	Nil
	Grand Total	Nil	Nil	Nil	Nil

### 3. Trend of annual disposal of complaints

Sr. No.	Year	Carried forward from Previous year	Received	Resolved	Pending
1	2022-23	Nil	Nil	Nil	Nil
2	2023-24	Nil	Nil	Nil	Nil
	<b>Grand Total</b>	Nil	Nil	Nil	Nil

**4)To register a formal complaint with the Company, please write to [riacompliance@pinc.co.in](mailto:riacompliance@pinc.co.in) with your following details:**

i) Full name

ii) Registered mobile number

iii) PAN card

iv) Nature of complaint

(On clicking the email id- the link will give direct access to mail from there, investor will mail mentioning above details)

**5) SCORES website link <https://scores.gov.in/scores/Welcome.html>**

(On Clicking SCORES it will take directly to website to lodge the complaint)